

# Rare Decays of Heavy Flavor

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***For B Group***

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**FNAL**

# Outlines

## ☞ Overview of Motivations

## ☞ Rare Charm decays

- Method

- FCNCs

  - ◆  $D^0 \rightarrow \mu^+ \mu^-$

  - ◆  $D^+ \rightarrow l^+ l^- \pi^+$ ,  $D_s^+ \rightarrow l^+ l^- K^+$

- Others (FCNC<sup>2</sup>, LFV, LNV)  $D_x \rightarrow llh$

- $D^0 \rightarrow K^+ \pi^-$

## ☞ Rare B decays

- Method

- FCNCs

  - ◆  $B_{s(d)} \rightarrow \mu^+ \mu^-$

  - ◆  $(B/B_s/B^\pm/\Lambda_b) \rightarrow l^+ l^- h$

- Others (FCNC<sup>2</sup>, LFV, LNV)  $B_x \rightarrow llh$

## ☞ Prospects

## ☞ Summary



# Motivations (considering $H \rightarrow llh$ modes only)

- ☞ Motivated by probing for New Physics
- ☞ Search/constraints on FCNC decays is a remarkable tool in a search/constraint of New Physics
  - Charm FCNCs are  $c \rightarrow u$  transition
    - ◆ Relatively small interest due to GIM suppression
  - B-FCNCs are (most often)  $b \rightarrow s$  transition
    - ◆  $b \rightarrow s \gamma$  has been a NW search and constraint workhorse for years
      - ✓ Now at a (brick?) wall due to systematics in both experiment and theory
    - ◆  $b \rightarrow s \mu^+ \mu^-$  for B-mesons and  $\Lambda_b$  has a potential of probing NP via shape analysis [ $m(\mu^+ \mu^-)$  or (a)symmetry]
    - ◆  $B_s \rightarrow \mu^+ \mu^-$  has a strong NP probe potential once the experimental sensitivity is available
- ☞ Probing other processes, like
  - double-FCNC ( $\text{FCNC}^2$ ) -- more “rare” than FCNC and the benefit is smaller
  - lepton flavor/number violation – none in SM (0 uncert)  $\rightarrow$  if seen  $\rightarrow$  NP
- ☞ CDF has a substantial sensitivity to exclusive (dilepton  $[e, \mu]$ ) B and Charm modes

# Rare Charm decays

- ✎ FCNCs are GIM suppressed in SM
  - Long-range effects (decays via resonances) dominate the Bratio
- ✎ Up-to expt values are possible in R-parity violating SUSY or some non-universal SUSY scenarios

## ➤ FCNCs

- ◆  $D^0 \rightarrow \mu^+ \mu^-$
  - ◆  $D^+ \rightarrow l^+ l^- \pi^+$ ,  $D_s^+ \rightarrow \mu^+ \mu^- K^+$
- Others (FCNC<sup>2</sup>, LFV, LNV)  $D_x \rightarrow llh$

## Experimental method:

- ✎ Take a  $D \rightarrow hh$  or  $D \rightarrow hhh$  as normalization mode with a  $D^*$ -tag
  - ◆ Signal mode naturally comes in the same sample
  - ◆ Normn Bratios are large due to large  $V_{cs}$  and  $V_{cd}$
- Get “peaking”  $N_{bg}$  by applying  $h \rightarrow \mu$  **fake rates**
  - ◆  $h = \pi \rightarrow \mu$  gives the best match in mass ( $m_\mu \approx m_\pi$ )
- Get “flat”  $N_{bg}$  from sidebands
- “Unblind” by looking at lepton ID

# Fake rates $K, \pi \rightarrow \mu$

➡ Substantial progress since the measurement of  $D \rightarrow \mu\mu$  with  $69 \text{ pb}^{-1}$

➤ Thanks to E. Berry, I. Furic, et al.

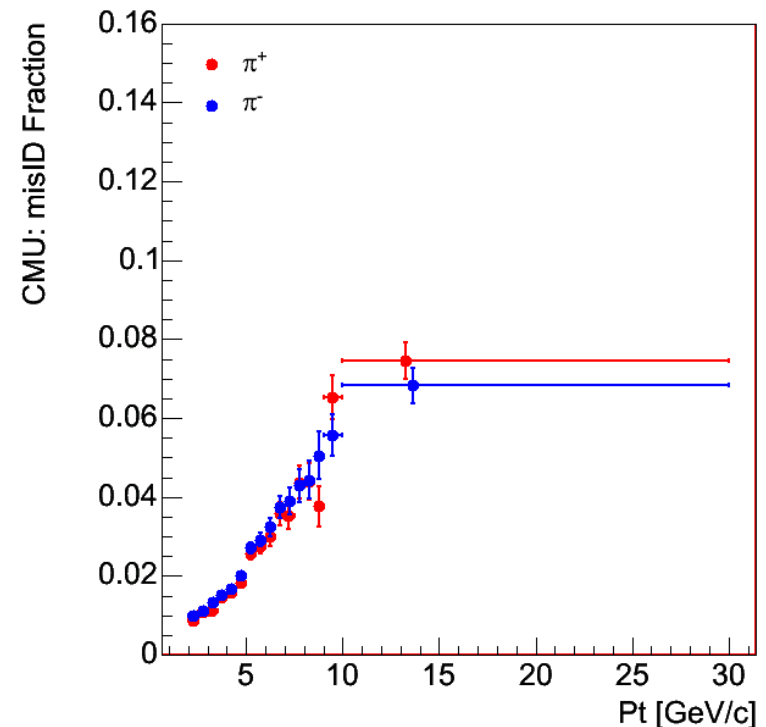
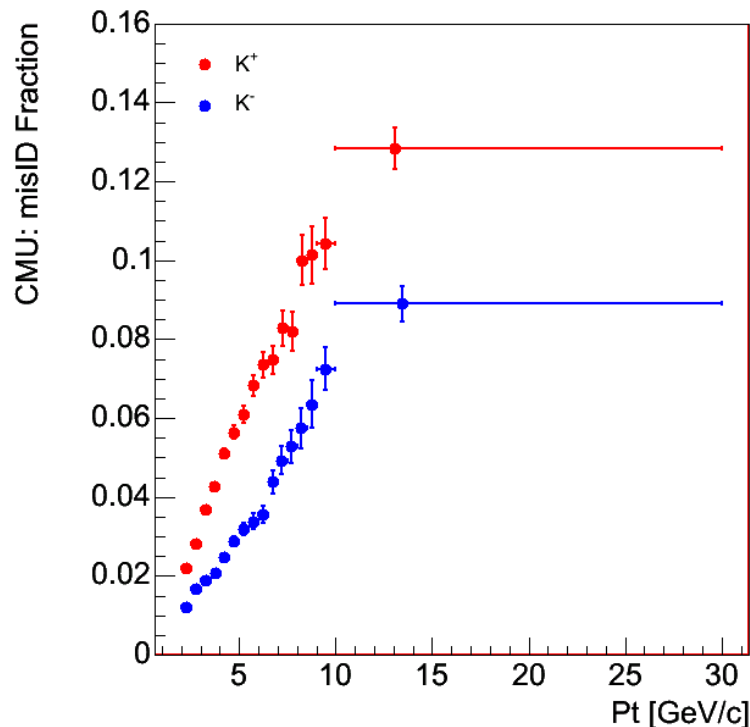
♦ The rates are measured from  $D^* \rightarrow \pi D(K\pi)$  sample for CMU, CMP, CMX

♦ The precision allows for parameterization in 1+ variables (e.g., not  $p_T$  only)

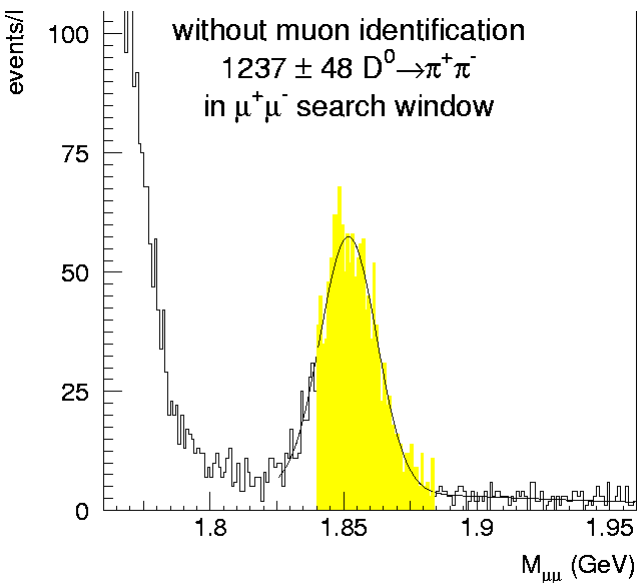
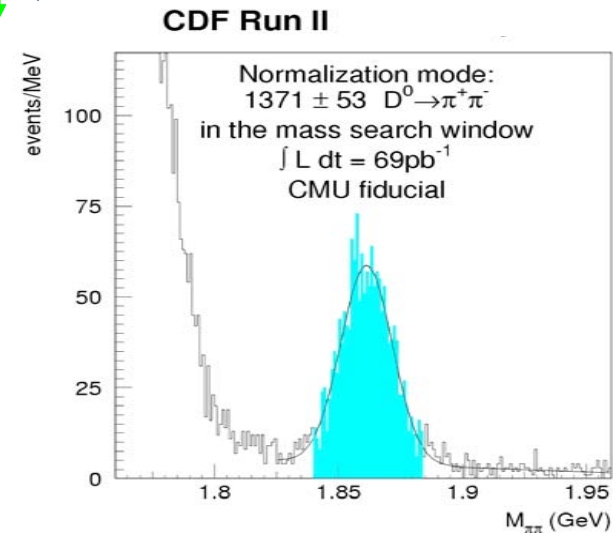
➡ Fake rates are essential for rare D-decays

➤ Less so for rare B-decays due to smaller  $B \rightarrow hh$  Bratios [ $\sim (V_{cb}/V_{cs})^2 \sim 0.01$  suppression]

♦ Can become essential for  $B \rightarrow \mu\mu$  due to its small value and good exp sensitivity



# $D^0 \rightarrow \mu\mu$ search ( $69 \text{ pb}^{-1}$ )



☞ Use  $D^{*\pm} \rightarrow D_0 \pi^\pm$  tagged events

☞ Use  $D_0 \rightarrow \pi^+ \pi^-$  as a normalization mode.

➤ Blind from  $\mu$ ID in  $1.840 < m_{\mu\mu} < 1.882 \text{ GeV}$

☞ Cuts:

➤  $|d_0(\mu, \pi)| > 120 \mu\text{m}$ ,  $|d_{xy}(D_0)| < 150 \mu\text{m}$

➤  $\Delta\phi(\mu\mu) > 0.085$

☞ Background:

➤ combinatorial (from right sideband) – expect  $1.5 \pm 0.7$

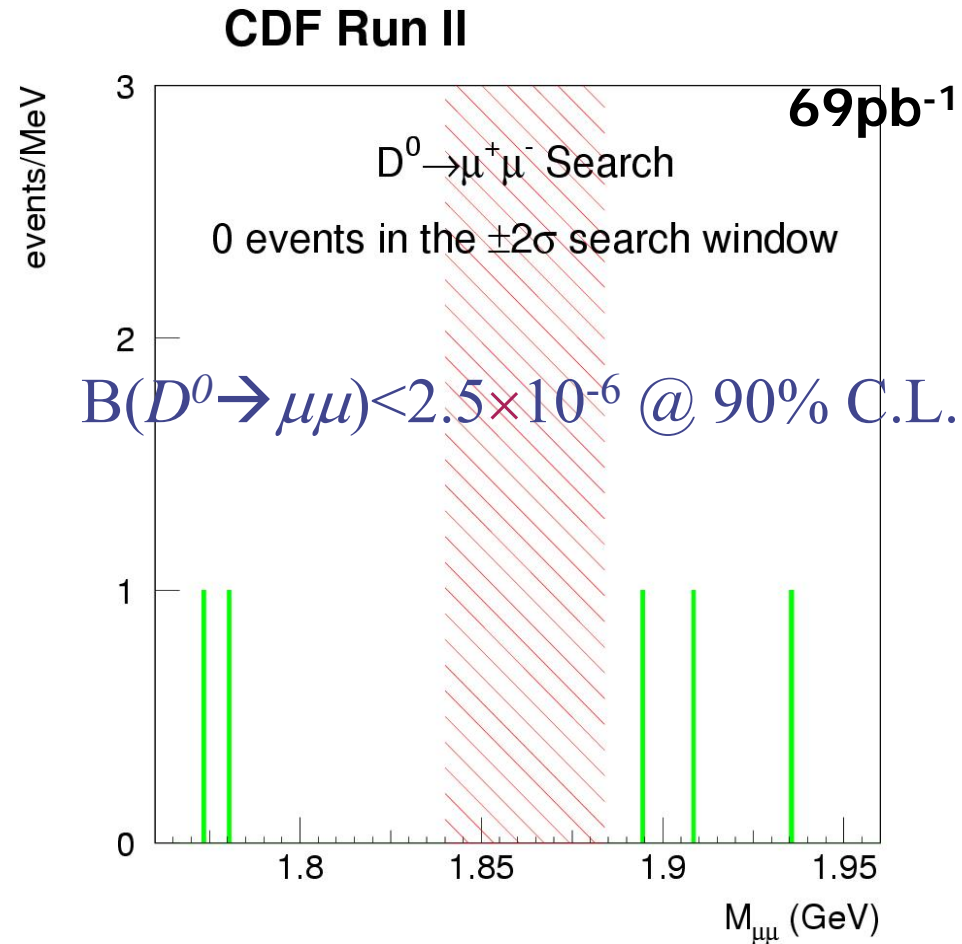
➤ misID – expect  $N(D_0 \rightarrow \pi^+ \pi^-) \times P(\text{misID})^2 \approx 0.3 \pm 0.1$

$$Br(D^0 \rightarrow \mu\mu) \leq \frac{N_{CL}(D^0 \rightarrow \mu\mu) \epsilon(D^0 \rightarrow \pi\pi)}{N(D^0 \rightarrow \pi\pi) \epsilon(D^0 \rightarrow \mu\mu)} \overset{\approx 1.012}{\cancel{Br(D^0 \rightarrow \pi\pi)}} \overset{\approx 1.43 \cdot 10^{-3}}{\cancel{Br(D^0 \rightarrow \pi\pi)}}$$

# $D^0 \rightarrow \mu\mu$ search

👉 Latest result from BaBar is  
 $B(D^0 \rightarrow \mu\mu) < 1.3 \times 10^{-6}$  @ 90% C.L.  
➤ cf.  $\text{Br}_{\text{SM}} \sim 10^{-13}$

👉 With  $1 \text{ fb}^{-1}$  expect  $\sim \times 10^{-20}$   
improvement (to  $\sim 1 \times 10^{-7}$ )  
➤ More data and now cover CMX



# $D^+/D_s^+ \rightarrow llh$ searches

☞ Only very rough estimates now

- Straightforward to address  $D_x \rightarrow \mu\mu h$  models
- Need to measure  $h \rightarrow e$  fake rates to use for remaining dilepton modes
  - ◆ Work in progress by R. Harr and D. Dhaliwal

☞ With  $1 \text{ fb}^{-1}$  should be able to achieve sensitivity comparable/better than currently available (PDG  $\leftarrow$  BaBar/Cleo-c/Belle/Hera-B)



# $D^0 \rightarrow K^+ \pi^-$

☞  $D^0 \rightarrow K^+ \pi^-$  is (mostly) double Cabibbo-suppressed (DCS) decay

◆ Compared to  $\text{Br}(D^0 \rightarrow K^- \pi^+) : \text{Br}(D^0 \rightarrow K^+ \pi^-) \sim (V_{cs} V_{ud})^2 : (V_{cd} V_{us})^2$

◆ Can also proceed via  $D^0$ — $D^0$ bar mixing

✓  $\text{Br}(D^0 \rightarrow K^+ \pi^-) < 1.6 \times 10^{-5}$  @95% CL (CLEO-2)

➤  $\text{Br}(D^0 \rightarrow K^- \pi^+) = 3.81 \pm 0.09 \%$      $\text{Br}(D^0 \rightarrow K^+ \pi^-) = (1.38 \pm 0.11) \times 10^{-4}$  (PDG: mostly BaBar)

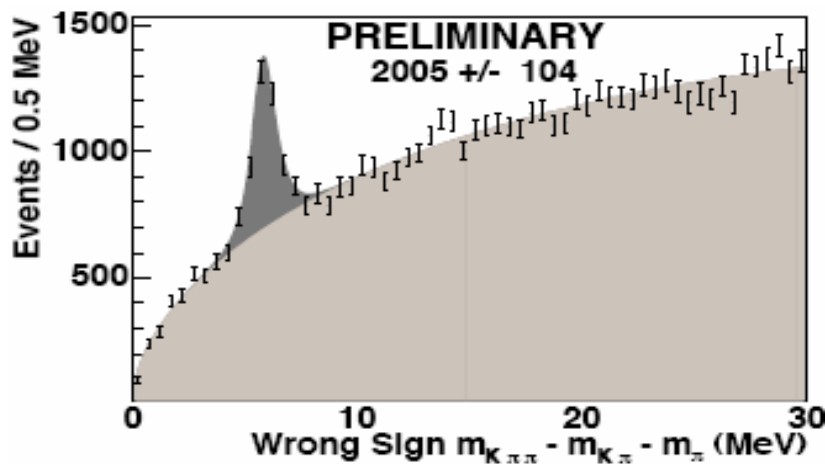
☞ Measure  $R = \text{WS}/\text{RS} = \text{Br}(D^0 \rightarrow K^+ \pi^-) : \text{Br}(D^0 \rightarrow K^- \pi^+)$  [time integrated]

◆  $\text{WS}/\text{RS} = 0.362 \pm 0.029\%$  (PDG)

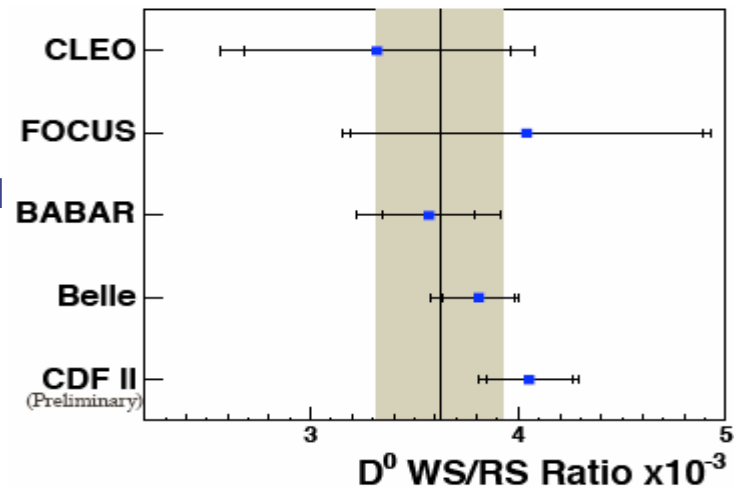
◆ Use  $\pi$  charge from  $D^*$  ( $D^{*+} \rightarrow \pi^+ D^0$  or  $c\bar{c}$ ) to tag  $D^0$ /anti- $D^0$

◆ Vtx cuts, remove  $M_{\text{RS}} \sim M_{\text{WS}}$ , use  $dE/dX$

☞ (unblinded, ~ blessed)  $\text{WS}/\text{RS} = 0.405 \pm 0.021 \pm 0.012\% = 0.405 \pm 0.024\%$



350 pb<sup>-1</sup>



Improve uncert-ty by ~50% with 1fb<sup>-1</sup>

# Rare B-decays

- ☞ FCNCs are suppressed in SM
  - Much softer suppression than in  $D \rightarrow llh$
- ☞ The leading modes probe  $b \rightarrow s$  transition in many aspects
- ☞ Up-to expt values are possible in
  - R-parity violating SUSY
  - numerous SUSY scenarios with large  $\tan\beta$

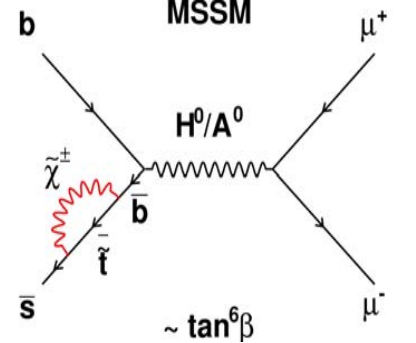
➤ FCNCs

◆  $B_{s(d)} \rightarrow \mu^+ \mu^-$

◆  $(B/B_s/B^+/\Lambda_b) \rightarrow l^+ l^- h$

➤ (FCNC<sup>2</sup>, LFV, LNV, etc)

$B_x \rightarrow llh$

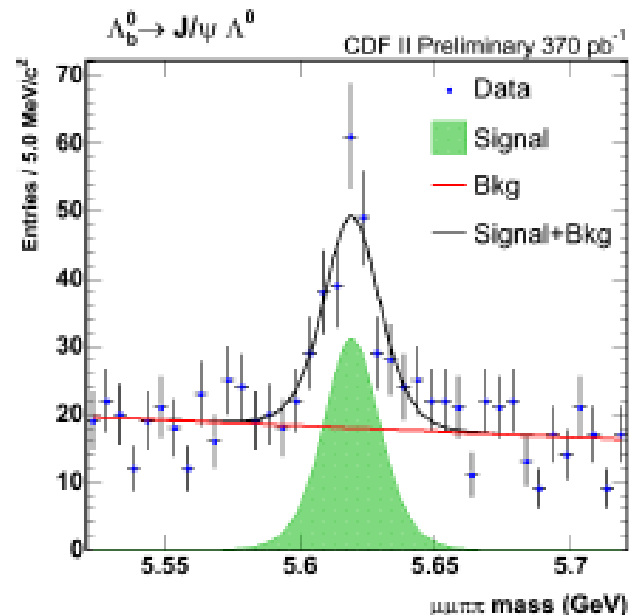


## Experimental method:

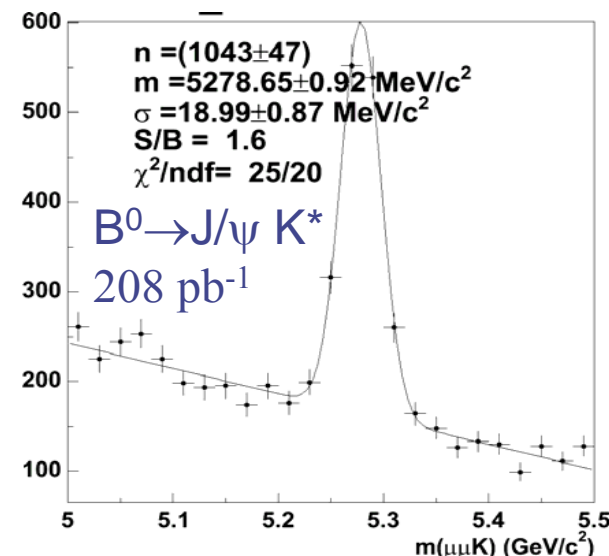
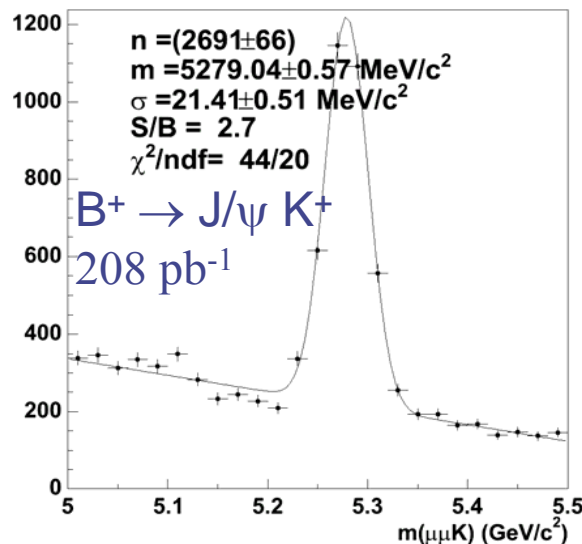
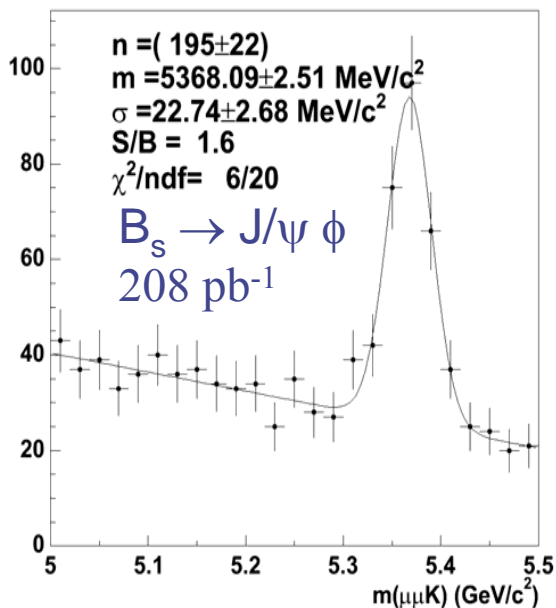
- ☞ Take  $B/B_s/B^+/\Lambda_b \rightarrow J/\psi h$  as normalization mode
  - ◆ Signal mode naturally comes in the same trigger sample
  - ◆ Normn Bratios are relatively large
- $B \rightarrow \mu\mu$  uses RAREB (no SVT/LXY) and  $B \rightarrow \mu\mu h$  use RAREB LXY trigger
  - ◆ Due to mass selections in noSVT/LXY triggers
- Get “flat”  $N_{bg}$  from sidebands (bgd is mostly combinatoric)
  - ◆ Discriminate based on vertex and dilepton ID
  - ◆ Use uncorrelated disc variables to factorize bgd cut power to improve statistics
  - ◆ Use either a likelihood or a box cut
- Optimize on expected Bratio ( $\sim S/B^{1/2}$ ) for a limit (measmnt)
- “Unblind” by looking inside the mass window

# Normalization modes for B-FCNCs

- ☞  $H_b \rightarrow J/\psi h$  mode correspond to  $H_b \rightarrow \mu^+ \mu^- h$
- ☞ Using dimuon normalization modes greatly reduces systematics
  - ☞  $B^+ \rightarrow J/\psi K^+$  is also used for  $B_{s(d)} \rightarrow \mu^+ \mu^-$



S. Farrington and R. Oldeman



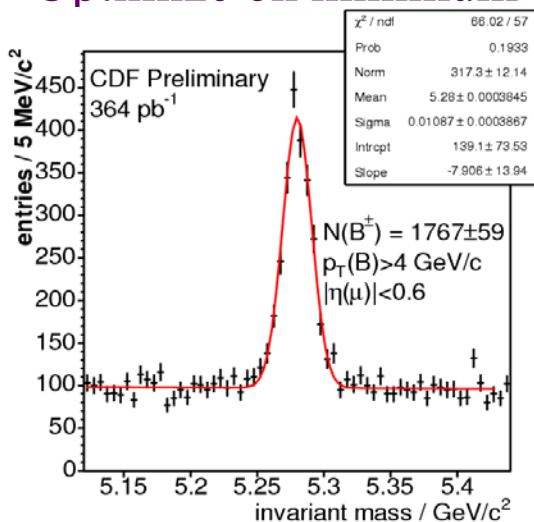
# $B_{s(d)} \rightarrow \mu^+ \mu^-$ Ingredients

➡ Normalize to  $B^+ \rightarrow J/\psi K^+$  decays

$$BR(B_s \rightarrow \mu^+ \mu^-) = \frac{N_{B_s}}{(\alpha\epsilon)_{B_s}^{total}} \frac{(\alpha\epsilon)_{B^+}^{total}}{N_{B^+}} \frac{f_u}{f_s} BR(B^+ \rightarrow \mu^+ \mu^- K^+)$$

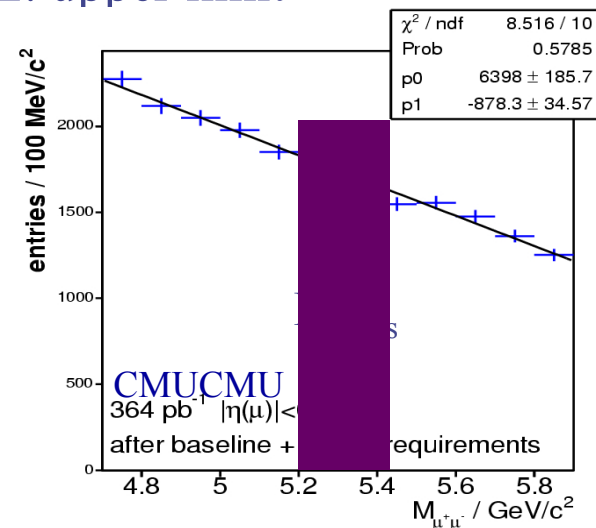
- ➡ - Construct discriminant to select  $B_s$  signal and suppress bgd
- ➡ - MC simulation for signal and mass sidebands for bgd estimate
- ➡ - Optimize on minimum expected 90% C.L. upper limit

Normalization mode



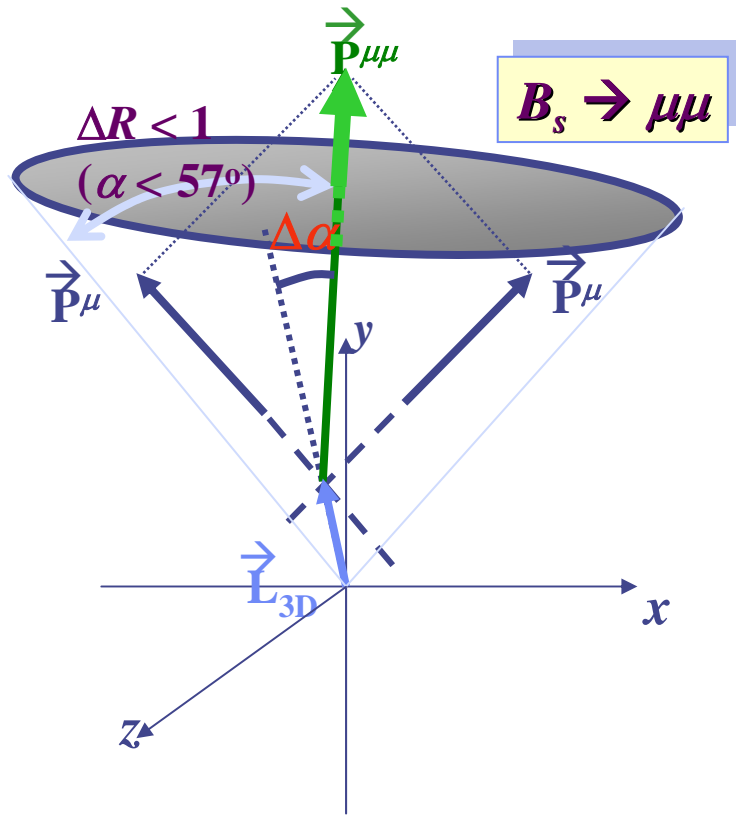
$N(\text{CMUCMU}) = 1767 \pm 59$   
 $N(\text{CMUCMX}) = 698 \pm 39$

Signal sample



$N(\text{CMUCMU}) = 6242$   
 $N(\text{CMUCMX}) = 4908$

# $B_{s(d)} \rightarrow \mu^+ \mu^-$ Signal vs. Bgd Discrimination



➤  $\mu+\mu^-$  mass,  $M$ :  $|M-M_B| < 60 \text{ MeV}/c^2$  ( $2.5\sigma$ )  
 sidebands:  $2 \times 0.5 \text{ GeV}/c^2$  on the sides  
 signal:  $|M-5279| < 60 \text{ MeV}/c^2$  ( $B_d^0$ ) or  $|M-5279| < 60 \text{ MeV}/c^2$  ( $B_{s^0}$ )

➤ Proper decay-length ( $\lambda$ ):  $\lambda = \frac{cL_{3D}M}{|\vec{p}(B)|}$

➤ Isolation (Iso):

$$Iso = \frac{p_T(B)}{p_T(B) + \sum_i p_T^i(\Delta R_i < 1)}$$

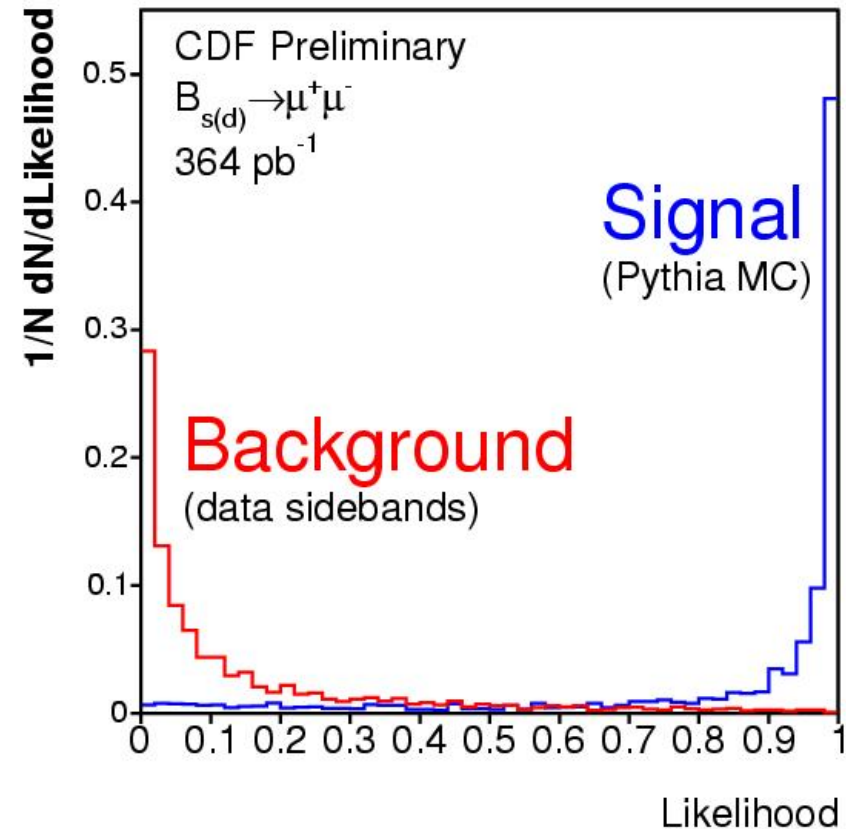
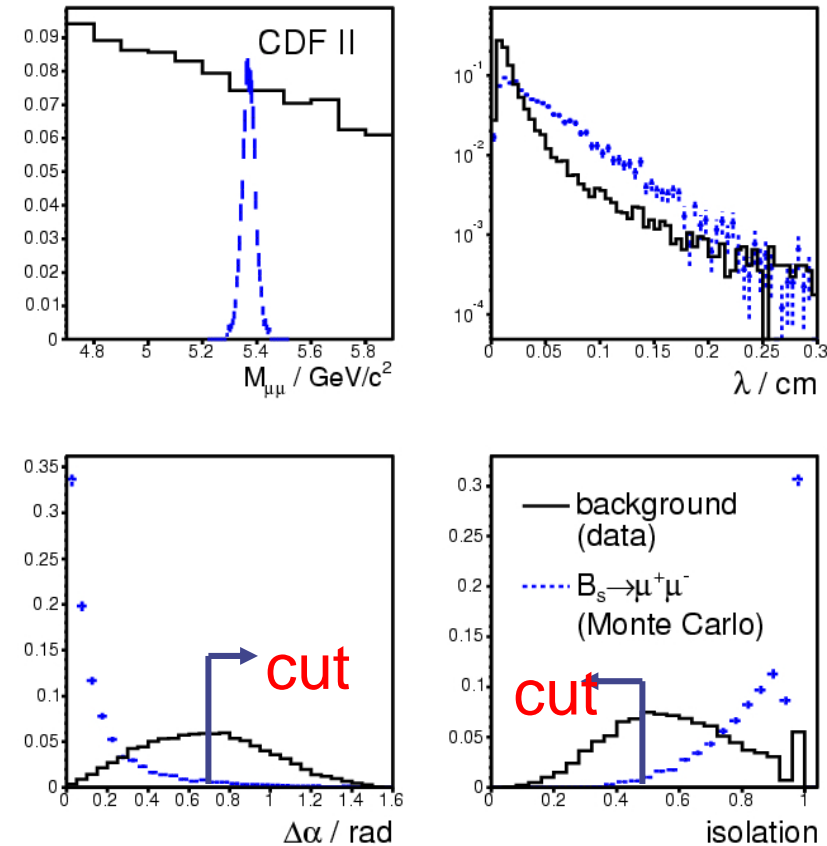
(fraction of  $p_T$  from  $B \rightarrow \mu\mu$  within  $\Delta R = (\Delta\eta^2 + \Delta\phi^2)^{1/2}$  cone of 1)

➤ “pointing ( $\Delta\alpha$ )”:  $\Delta\alpha = \angle(\vec{p}(B) - \vec{L}_{3D})$   
 (3D angle between  $B_s$  momentum and decay axis)

# $B_{s(d)} \rightarrow \mu^+ \mu^-$ Signal vs. Bgd Discrimination

➔ Construct Likelihood discriminant

$$LH = \frac{\prod_i P_s(x_i)}{\prod_i P_s(x_i) + \prod_i P_b(x_i)}$$



# $B_{s(d)} \rightarrow \mu^+ \mu^-$ Optimization and results

➡ Optimize using *a priori* expected upper limit (assumes no signal)

➡ Assume 1 fb<sup>-1</sup> of data

➢ **Optimal cuts:  $LH > 0.99$  and  $pT(B) > 4 \text{ GeV}$**

➡ For 360 pb<sup>-1</sup> (combined for CMUCMU and CMUCMX)

➢  $\langle \text{BR}^{90\% \text{ CL}} \rangle = 2 \times 10^{-7} \quad N_{\text{bg}} = 1.5 \pm 0.2$

➢ Observe no events, consistent with expectations

➢  $\rightarrow \text{Br}(B_s \rightarrow \mu\mu) < 1.6 \times 10^{-7} \text{ @ } 90\% \text{ CL}$

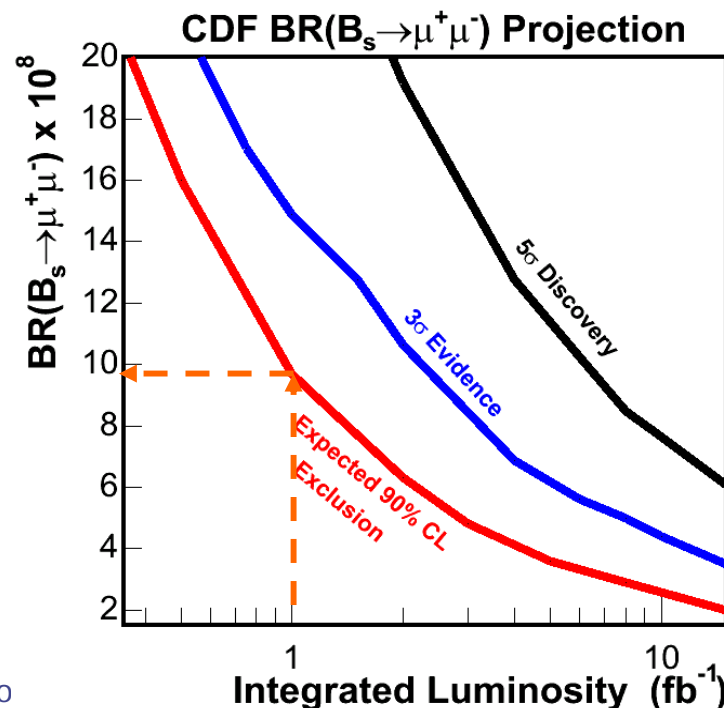
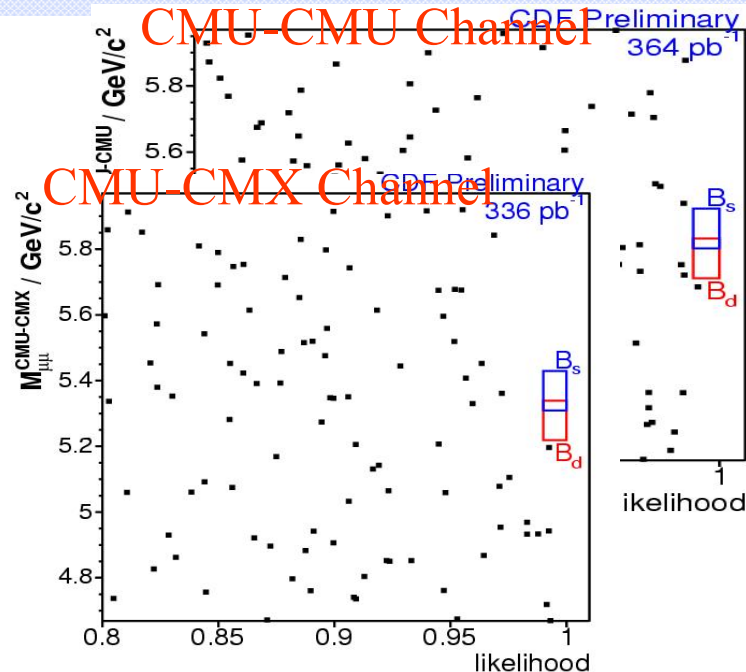
$\text{Br}(B_d \rightarrow \mu\mu) < 3.9 \times 10^{-7} \text{ @ } 90\% \text{ CL}$

Both CDF  $B_s$  and  $B_d$  results are  $\times 2$  better than the best published result

➡ Expect  $\times 2$  improvement at 1 fb<sup>-1</sup>

➢ (high  $10^{-8}$  region)

➢ Even better when combined with D0



# $(B/B_s/B^+/\Lambda_b) \rightarrow \mu^+ \mu^- h$

Yields (Belle/BaBar)

$B^+ \rightarrow \mu^+ \mu^- K^+$	$79 \pm 10$ (253fb <sup>-1</sup> )
$B^0 \rightarrow \mu^+ \mu^- K^+$	$82 \pm 11$ (253fb <sup>-1</sup> )
$B \rightarrow \mu^+ \mu^- X_s$	$68 \pm 14$ (140fb <sup>-1</sup> )

☞  $B^0 \rightarrow \mu^+ \mu^- K^*$  and  $B^+ \rightarrow \mu^+ \mu^- K^+$  are already observed at B-factories

☞  $B_s \rightarrow \mu^+ \mu^- \phi$  and  $\Lambda_b \rightarrow \mu^+ \mu^- \Lambda$  would be the first observations

☞ Shape analysis would be important to probe new physics

☞ The analysis strategy is very similar to that of  $B \rightarrow \mu\mu$  (diff: use RAREB LXY)

➤ Discriminating variables are essentially the same

➤ Would try to explore box cuts first (not LH)

S. Farrington  
R. Oldeman

Decay mode:	$B^+$	$B^0$	$B_s$
$N(B \rightarrow J/\psi h)$ (260 pb <sup>-1</sup> )	2270	981	95
$BR(B \rightarrow \mu\mu h)$ ( $\times 10^{-7}$ )	5.5 ♣ (Belle)	16.5 ♣ (Belle)	16.1 ♠ (hep-ph/0303246)
$BR(B \rightarrow J/\psi(\mu\mu) h)$ ( $\times 10^{-5}$ )	(5.88±0.25)	(7.70±0.45)	(55±20)
$\epsilon(\mu\mu h)/\epsilon(J/\psi h)$	0.78	0.69	0.69
Estimated S (260 pb <sup>-1</sup> )	16.6	14.6	1.94
Optimization not done yet, rough estimates $\rightarrow B_s \rightarrow \mu^+ \mu^- \phi$ at $\sim 2\sigma$ ; $B^+$ and $B^0$ yields similar to Belle/BaBar; (limit on $\Lambda_b$ at $0.3 Br_{SM}$ ?)			
$S/\sqrt{(S+B)}$ (1 fb <sup>-1</sup> ) <b>PRELIM</b>	3	5	1.5-2



# Summary

☞  $\text{Br}(B_s \rightarrow \mu\mu) < 1.6 \times 10^{-7}$  at 90% C.L.

☞  $\text{Br}(B_d \rightarrow \mu\mu) < 4 \times 10^{-8}$  at 90% C.L.

➤ Both should improve  $\sim \times 2$  with  $1 \text{ fb}^{-1}$

➤ Prospect for  $\text{Br}(B_s \rightarrow \mu\mu) \sim 1 \times 10^{-8}$  in Run II still holds

◆ Vital for large  $\tan\beta$  supersymmetry

☞  $\text{Br}(D \rightarrow \mu\mu) < 2.4 \times 10^{-6}$  at 90% C.L. ( $69 \text{ pb}^{-1}$ )

➤ Expect  $\sim \times 10\text{-}20$  improvement with  $1 \text{ fb}^{-1}$

published

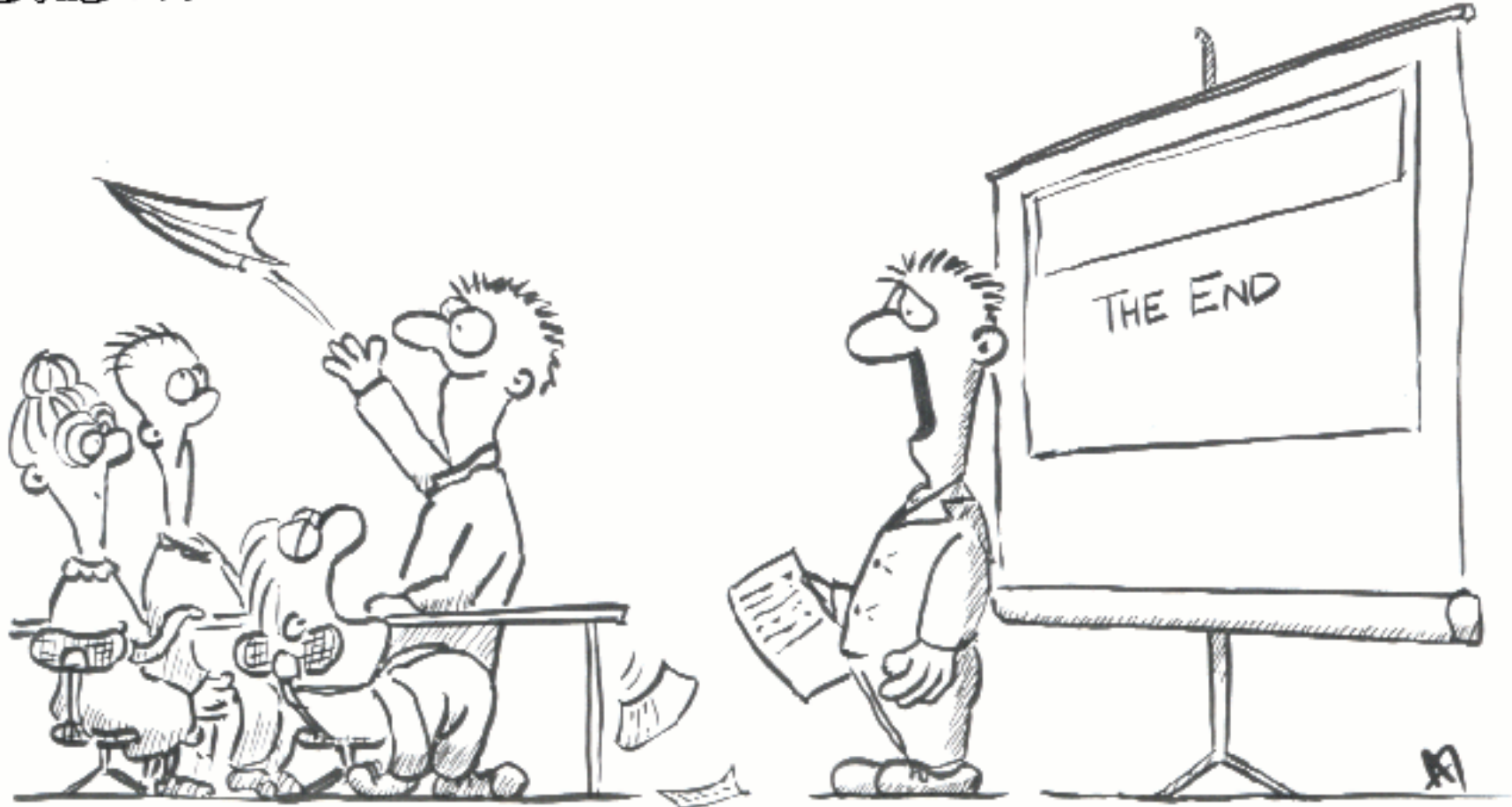
With  $1 \text{ fb}^{-1}$ :

☞ Expect to have sensitivity to  $B^0 \rightarrow \mu^+ \mu^- K^*$  and  $B^+ \rightarrow \mu^+ \mu^- K^+$  similar to B-factories (current)

☞ Expect first evidence of  $B_s \rightarrow \mu^+ \mu^- \phi$  and first limit on  $\Lambda_b \rightarrow \mu^+ \mu^- \Lambda$

☞ Substantial improvements in charm  $\rightarrow$  llh decays and  $D^0 \rightarrow K^+ \pi^-$

**DR. DUD**

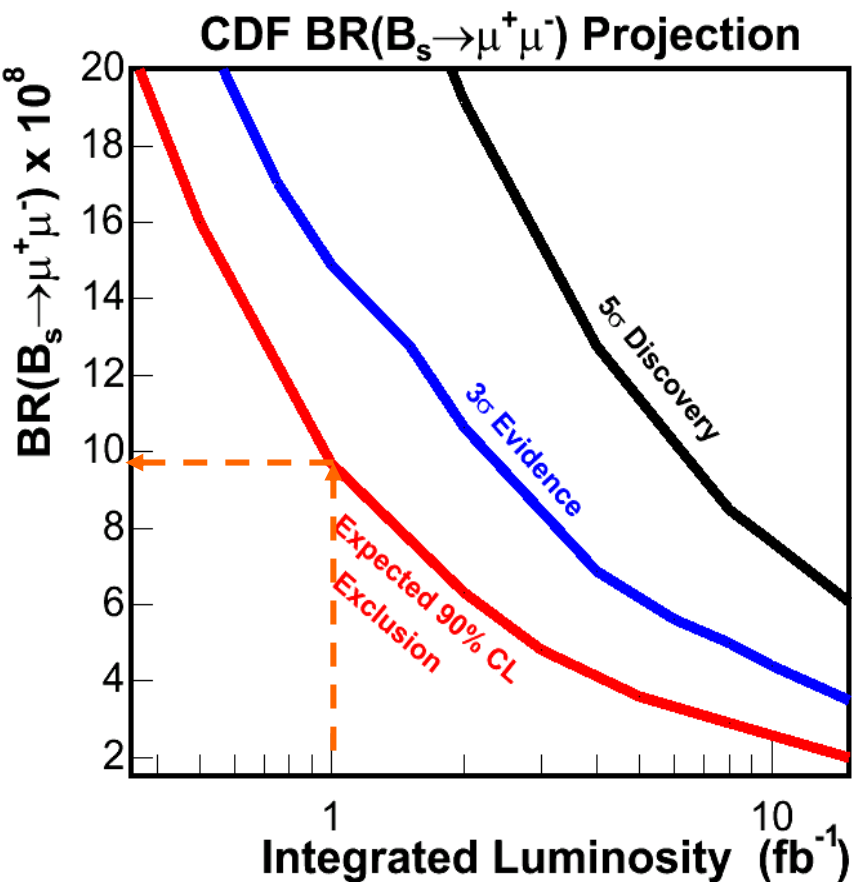


AND ON THAT EXCITING NOTE...

# BACKUP SLIDES

# $B_s \rightarrow \mu\mu$ Prospects

Simplistic: no improvement to analysis  
→ scale  $N_{bg}$  and  $N_{B^+}$  linearly with Lumi  
→ recalculate  $\langle BR \rangle$   
→ at best  $\sim 3 \times 10^{-8}$  at 90% CL



- Optimistic:  $\langle BR \rangle \sim 1/\text{Lumi}$ 
  - Additional handles on bgd exist:
    - tighter muon ID (require CMP)
    - calorimeter isolation
    - additional 2D pointing
    - use mass resolution model in LH
- Combine with D0

→  $BR(B_s \rightarrow \mu\mu) \approx 1 \times 10^{-8}$  at 90% CL  
is possible within Run II (by 09?)

- Can be measured at SM level  
by CMS at LHC  
after 2-3 years of data taking  
(by 10?)

# Triggers used

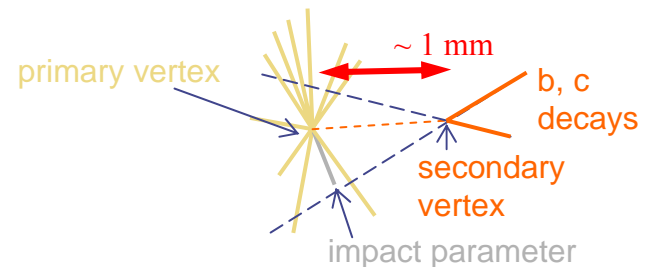
All are input to the various Level-3 triggers  
That use the offline quality information

## ☞ Dimuon trigger

- $p_T > 1.5 \text{ GeV}$ ,  $|\eta| < 0.6$   
 $p_T > 2 \text{ GeV}$ ,  $0.6 < |\eta| < 1$
- $p_T$ ,  $\phi$ , muon ID used to cut on tracks
- Used for  $\psi, Y, B \rightarrow \mu\mu(+X)$

## ☞ Two Track Trigger

- $p_T > 2 \text{ GeV}$ ,  $|\eta| < 1$
- $p_T$ ,  $\phi$ ,  $d_0$  info used to cut on 2 tracks
- Used for:  $B, D \rightarrow \text{hadrons}$  ;  $D \rightarrow \mu\mu$



# B(D) → μμ: Theoretical motivations. Current limits.

Flavor Changing Neutral Current. contribution only in SM.

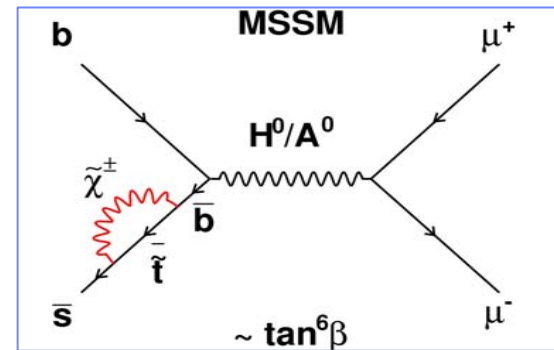
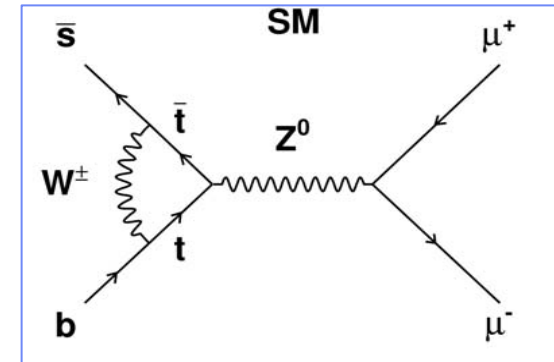
Loop

- $\text{Br}_{\text{SM}}(B_s \rightarrow \mu^+ \mu^-) = (3.4 \pm 0.5) \times 10^{-9}$
- $\text{Br}_{\text{SM}}(B_d \rightarrow \mu^+ \mu^-) = (1.00 \pm 0.14) \times 10^{-10}$  (hep-ph/0303060)
- $\text{Br}_{\text{SM}}(D^0 \rightarrow \mu^+ \mu^-) \sim 3 \times 10^{-13}$  (GIM suppressed)

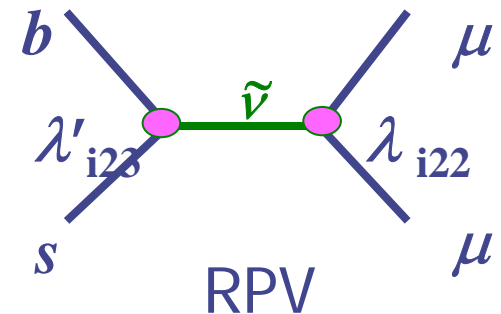
Only upper experimental limit exists:

- $\text{Br}_{\text{exp}}(B_s \rightarrow \mu^+ \mu^-) < 2.0 \times 10^{-6}$  90% C.L. CDF RunI @100/pb.
- $\text{Br}_{\text{exp}}(B_d \rightarrow \mu^+ \mu^-) < 1.6 \times 10^{-7}$  90% C.L. Belle '03 @78/fb.
- $\text{Br}_{\text{exp}}(D^0 \rightarrow \mu^+ \mu^-) < 4.1(4.2) \times 10^{-6}$  90% C.L. BEATRICE(E771)

Limits are far away from the SM value: can test for a possible new physics.



mSUGRA, SO(10)



MSSM:  $\text{Br}(B \rightarrow \mu^+ \mu^-)$  enhanced by  $\tan \beta > 10$  terms  $\sim \tan^6 \beta$ . Up to 100 over the SM prediction.

R-parity violating models give tree level contributions. Not heavily constrained by other observables.

Can be seen in Run2 (esp.  $B_s \rightarrow \mu\mu$ )

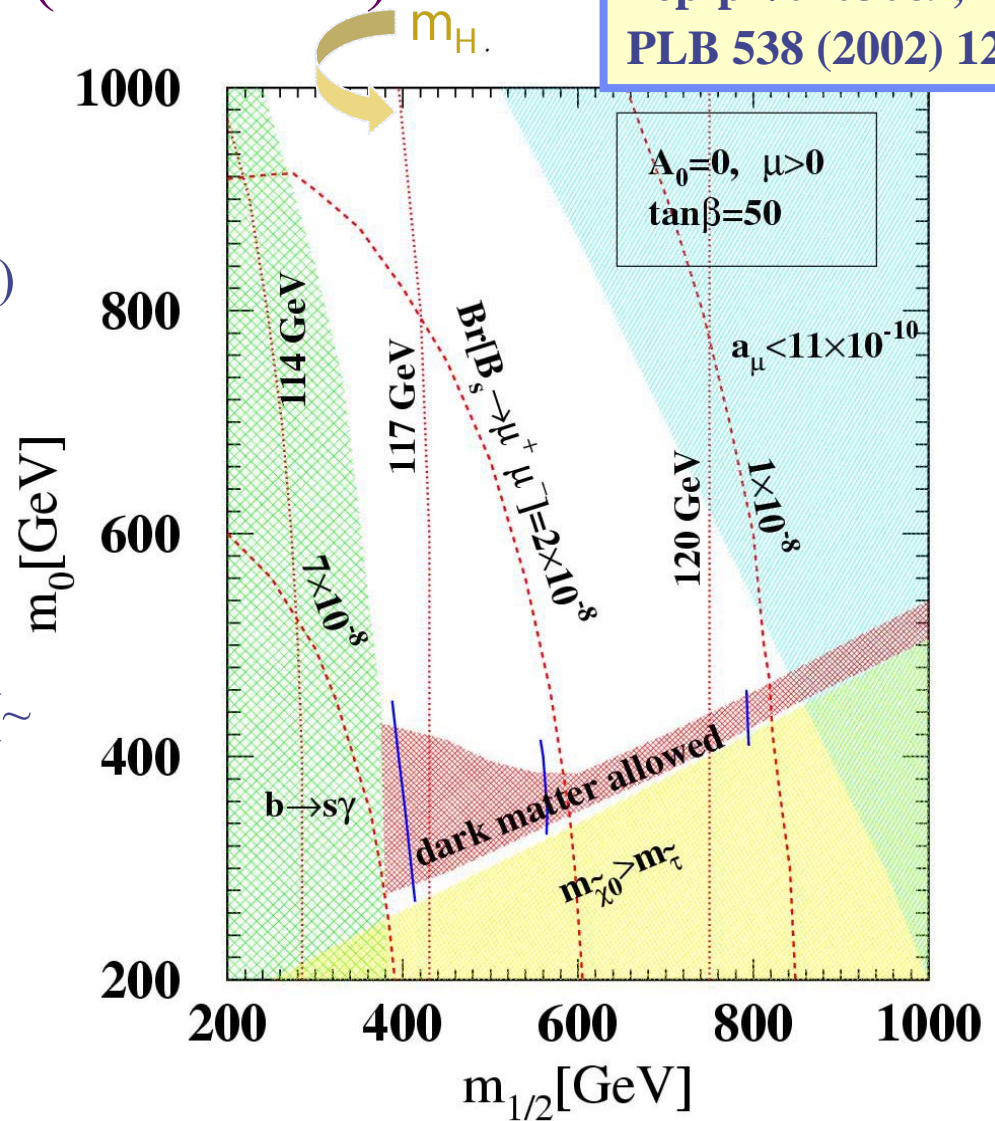
Other models enhance less. E.g., universal extra dimensions. Up to +70% for  $B_s \rightarrow \mu^+ \mu^-$

# Motivations: $B_s \rightarrow \mu^+ \mu^-$ (mSUGRA)

Overlap with measured  $\delta a_\mu$  (BNL) in mSUGRA parameter space.

Overlap with dark matter=LSP allowed region.

Eliminate large parameter space ( $\sim$  all for  $\tan\beta > 40$ ), with  $\text{Br}(B_s \rightarrow \mu^+ \mu^-) \sim 10^{-8}$  in Run2

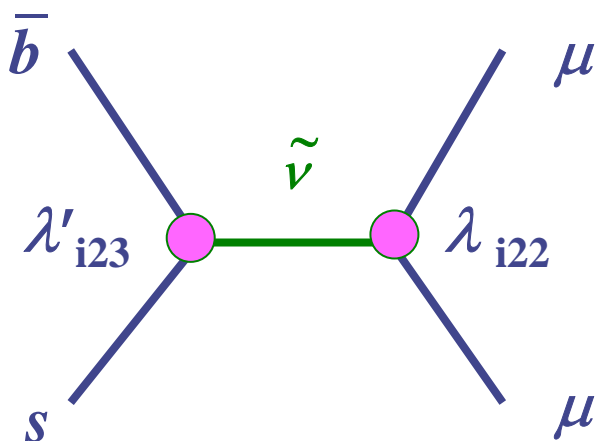




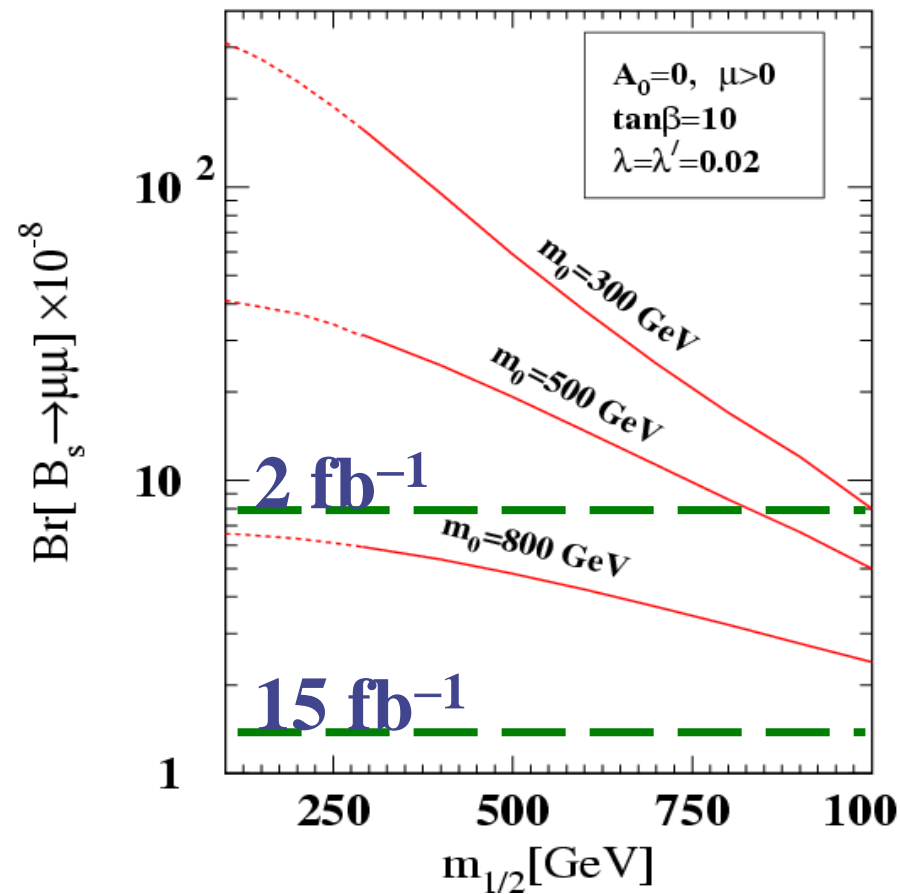
# $R_p$ Violation: $Br$ vs. $m_{1/2}$

R. Arnowitt *et al.*,  
hep-ph/0203069,  
PLB 538 (2002) 121

e.g.,  $W_{\text{TRPV}} = \lambda_{ijk} \mathbf{L}_i \mathbf{L}_j \mathbf{E}_k + \lambda'_{ijk} \mathbf{L}_i \mathbf{Q}_j \mathbf{D}_k + \lambda''_{ijk} \mathbf{U}_i \mathbf{D}_j \mathbf{D}_k$



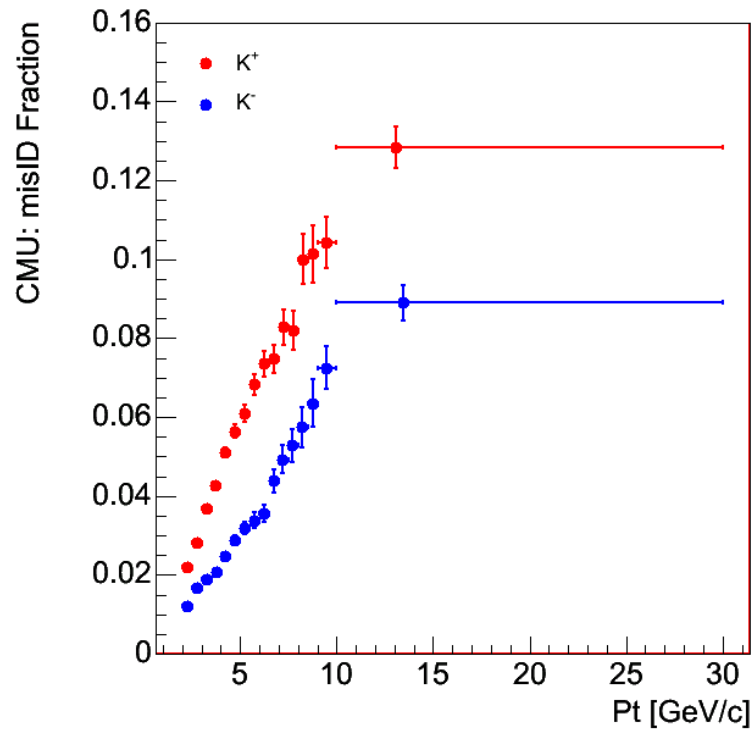
$$\begin{pmatrix} \nu \\ l \end{pmatrix} \begin{pmatrix} c \\ s \end{pmatrix} \begin{pmatrix} b \end{pmatrix} \quad \begin{pmatrix} \nu \\ l \end{pmatrix} \begin{pmatrix} \nu \\ \mu \end{pmatrix} \begin{pmatrix} \mu \end{pmatrix}$$



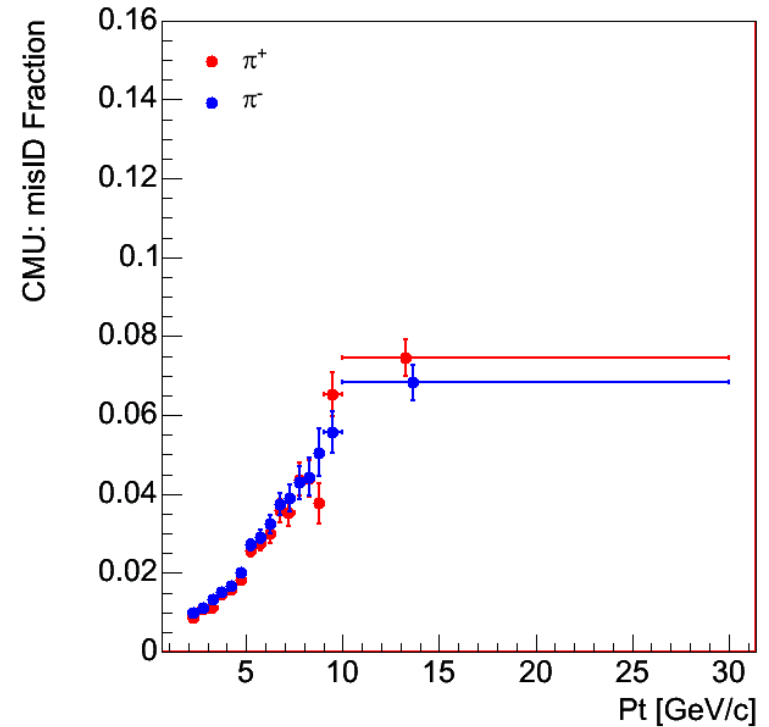


# Muon fake rates: CMU

→ E. B. Kory, I. Furic, et al.

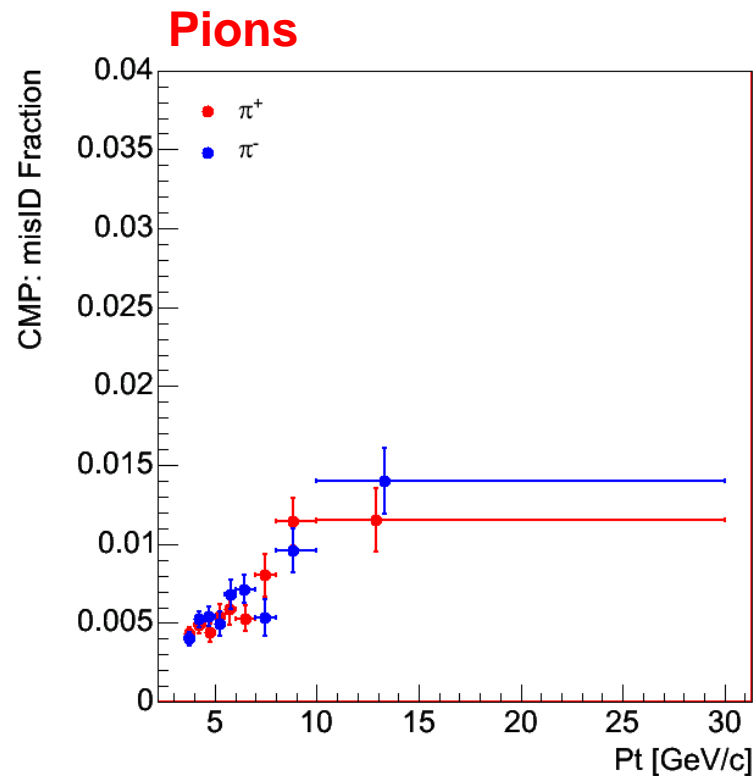
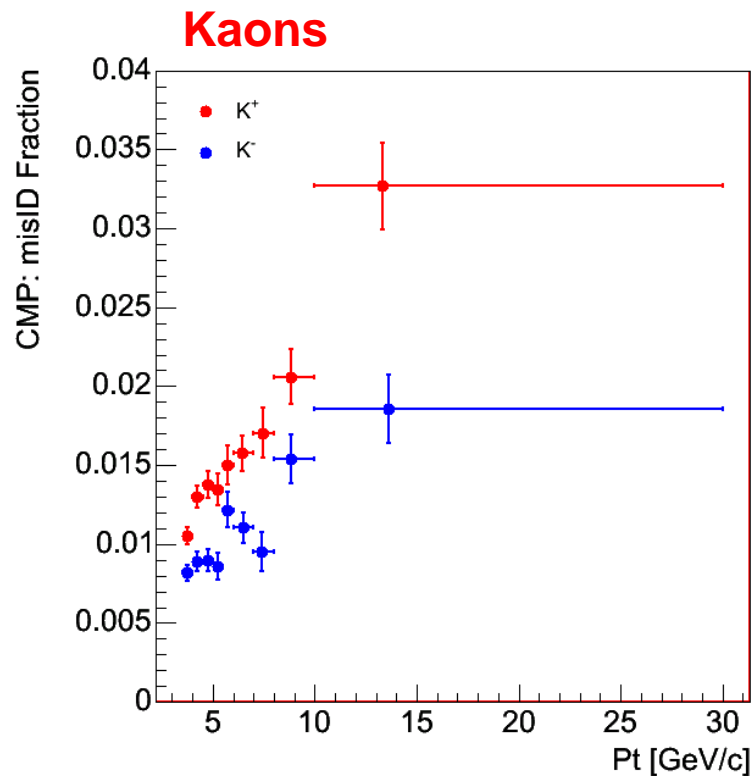


Pions



# Muon fake rates: CMP

☞ E. Berry, I. Furic, et al.



# Muon fake rates: CMX

☞ E. Berry, I. Furic et al.

